Optimal signal processing for continuous qubit readout

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The measurement of a quantum two-level system, or a qubit in modern terminology, often involves an electromagnetic field that interacts with the qubit, before the field is measured continuously and the qubit state is inferred from the noisy field measurement. During the measurement, the qubit may undergo spontaneous transitions, further obscuring the initial qubit state from the observer. Taking advantage of some well-known techniques in stochastic detection theory, here we propose a signal processing protocol that can infer the initial qubit state optimally from the measurement in the presence of noise and qubit dynamics. Assuming continuous quantum-nondemolition measurements with Gaussian or Poissonian noise and a classical Markov model for the qubit, we derive analytic solutions to the protocol in some special cases of interest using Itô calculus. Our method is applicable to multi-hypothesis testing for robust qubit readout and relevant to experiments on qubits in superconducting microwave circuits, trapped ions, nitrogen-vacancy centers in diamond, semiconductor quantum dots, or phosphorus donors in silicon.

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I. INTRODUCTION

Consider a quantum two-level system, or a qubit in modern terminology. According to von Neumann, measurement of a qubit can be instantaneous and perfectly accurate, with two possible outcomes and the qubit collapsing to a specific state depending on the outcome [1]. In practice, this measurement model, called a projective measurement, is an idealization. A qubit measurement in real physical systems, such as superconducting microwave circuits [2–4], trapped ions [5,6], nitrogen-vacancy centers in diamond [7,8], semiconductor quantum dots [9,10], and phosphorus donors in silicon [11,12], is often performed by coupling the qubit to an electromagnetic field, before the field is measured continuously. The qubit state can only be inferred with some degree of uncertainty from the noisy measurement. During the measurement, the qubit may also undergo spontaneous transitions, which further obscure the initial qubit state and complicate the inference procedure. This qubit readout problem is challenging but important for many quantum information processing applications, such as quantum computing [13], magnetometry [14], and atomic clocks [15,16], which all require accurate measurements of qubits. The choice of a signal processing method is crucial to the readout performance. References [17,18] in particular contain detailed theoretical studies of qubit-readout signal processing protocols.

In this paper, we propose a signal-processing architecture for optimal qubit readout by exploiting well-known techniques in classical detection theory [19–23]. Following prior work [17,18], we assume that the measurement is quantum nondemolition (QND) [1,24], meaning that a classical stochastic theory is sufficient [1,25,26]. In addition to the Gaussian observation noise assumed in Refs. [17,18], we also consider a Poissonian noise model [27], which is more suitable for photon-counting measurements [5–8,10,15]. We find that the likelihood ratio needed for optimal hypothesis testing can be determined from the celebrated estimator-correlator formulas [20–23,28], which break down the likelihood-ratio calculation into an estimator step and an easy correlator step. The estimator turns out to have analytic solutions in special cases of interest and simple numerical algorithms in general.

Although our protocols and the ones proposed in Refs. [17,18] should result in the same end results for the likelihood ratio in the case of Gaussian noise, our analytic solutions involve elementary mathematical operations and may be implemented by low-latency electronics, such as analog or programmable logic devices [29], for fast feedback control and error correction purposes [1]. This is in contrast to the more complicated coupled stochastic differential equations recommended by the prior studies. Moreover, the prior studies never state whether their stochastic equations should be interpreted in the Itô sense or the Stratonovich sense, making it difficult for others to verify and correctly implement their protocols. As the equations are nonlinear with respect to the observation process, applying the wrong stochastic calculus is likely to give wrong results [20,27,30,31]. Our work here, on the other hand, makes explicit and consistent use of Itô calculus to ensure its correctness. Our estimator-correlator protocol is also inherently applicable to multi-hypothesis testing, which can be useful for online parameter estimation and making the readout robust against model uncertainties [32–36].

II. HYPOTHESIS TESTING

Let \(\{\mathcal{H}_m; m = 0, 1, 2, \ldots, M - 1\}\) be the hypotheses to be tested. Given a noisy observation record \(Z\), suppose that we use a function \(\hat{\mathcal{H}}(Z)\) to decide on a hypothesis. Defining the observation probability measure as \(d P(Z|\mathcal{H}_m)\) and the prior probability distribution as \(P(\mathcal{H}_m)\), the average error probability is

\[
P_e \equiv \sum_m P(\mathcal{H}_m) \int_{\hat{\mathcal{H}}(Z) \neq \mathcal{H}_m} d P(Z|\mathcal{H}_m). \quad (2.1)
\]

The decision rule that minimizes \(P_e\) is to choose the hypothesis that maximizes the posterior probability function [19,37],
which can be expressed as

$$P(H_m|Z) = \frac{\Lambda(Z|H_m)P(H_m)}{\sum_m \Lambda(Z|H_m)P(H_m)},$$

(2.2)

where we have defined

$$\Lambda(Z|H_m) \equiv \frac{dP(Z|H_m)}{dP(Z|H_0)}$$

(2.3)

as the likelihood ratio for $H_m$ against $H_0$, the null hypothesis. The minimum-error decision strategy thus boils down to the computation of $\Lambda(Z|H_m)$ for all hypotheses of interest, and then finding the hypothesis that maximizes $P(H_m|Z)$, or equivalently

$$\hat{H}(Z) = \arg \max_{H_m} \left[ \ln \Lambda(Z|H_m) + \ln P(H_m) \right],$$

(2.4)

where $\ln \Lambda(Z|H_m)$ is a log-likelihood ratio (LLR). Many frequentist protocols also involve the computation of the LLR and a likelihood-ratio test [19].

III. GAUSSIAN NOISE MODEL

A. Observation process

Assume that the observation process $z(t)$ conditioned on a hypothesis is

$$H_m : z(t) = S_m(t)x_m(t) + \xi(t),$$

(3.1)

where $S_m(t)$ is a deterministic signal amplitude assumed by the hypothesis, $x_m(t)$ is a hidden stochastic process, $\xi(t)$ is a zero-mean white Gaussian noise with covariance

$$\mathbb{E}[\xi(t)\xi(t')] = R(t)\delta(t - t'),$$

(3.2)

$R(t)$ is the noise power, assumed here to be the same for all hypotheses. It is possible to test other values of noise power by prescaling the observation and redefining $S_m(t)$. For qubit readout, the hypothesis should determine $S_m(t)$ and the statistics of $x_m(t)$; Fig. 1 sketches a few example realizations of the signal component $S_m(t)x_m(t)$.

In stochastic detection theory, it is convenient to define a normalized observation process $y(t)$ as the time integral of $z(t)$:

$$y(t) = \int_0^t dt \frac{z(t)}{\sqrt{R(t)}},$$

(3.3)

and represent it using a stochastic differential equation:

$$H_m : dy(t) \equiv y(t + dt) - y(t)$$

$$= dt \sigma_m(t)x_m(t) + dW(t),$$

(3.4)

$$\sigma_m(t) \equiv \frac{S_m(t)}{\sqrt{R(t)}},$$

(3.5)

where $W(t)$ is the standard Wiener process with increment variance $dW^2(t) = dt$ and Itô calculus [30,31] is assumed throughout this paper. The null hypothesis, in particular, is taken to be

$$H_0 : dy(t) = dW(t).$$

(3.6)

Figure 2 depicts the observation model through a block diagram.

B. Estimator-correlator formula

Define the observation record as

$$y^T \equiv \{y(t); 0 \leq t \leq T\}.$$

(3.7)

Under rather general conditions about $x_m(t)$, the LLR

$$\ln \Lambda(Y^T|H_m)$$

can be expressed using the estimator-correlator formula [20–22,28], which correlates the observation with an
solutions useful for the qubit readout problem in the following.

general reduce the complexity of the LLR calculation, as specific to the hypotheses considered there.

This makes the estimator-correlator protocol more flexible and (in addition to the fixed null hypothesis), once an algorithm for

"assumptive" estimate $\mu_m(t)$:

$$\ln \Lambda(Y^T|H_m) = \int_0^T dy(t)\mu_m(t) - \frac{1}{2} \int_0^T dt \mu_m^2(t),$$

(3.8)

where

$$\mu_m(t) \equiv \sigma_m(t) E[x_m(t)|Y^t, H_m]$$

(3.9)

is a causal estimator of the hidden signal conditioned on the observation record $Y^t$ and the hypothesis $H_m$. The $dy(t)$ integral is an Itô integral, meaning that $dy(t)$ is the future increment ahead of time $t$ and $\mu_m(t)$ in the integrand $dy(t)\mu_m(t)$ should not depend on $dy(t)$. This rule is important for consistent analytic and numerical calculations whenever one multiplies $dy(t)$ with a signal that depends on $y(t)$ [20]. Figure 3 illustrates an implementation of the formula.

As each $\ln \Lambda(Y^T|H_m)$ depends only on one hypothesis $H_m$ (in addition to the fixed null hypothesis), once an algorithm for its computation is implemented, it can be re-used even if the other hypotheses are changed or new hypotheses are added. This makes the estimator-correlator protocol more flexible and extensible than the ones proposed in Refs. [17,18], which are specific to the hypotheses considered there.

Despite its simple appearance, the formula does not in general reduce the complexity of the LLR calculation, as the estimator may still be difficult to implement. We shall, however, present a simple numerical method and some analytic solutions useful for the qubit readout problem in the following.

C. Qubit dynamics

For QND qubit readout, we assume that $x_m(t)$ is a classical two-state first-order Markov process; the Appendix shows explicitly how the classical theory can arise from the quantum formalism of continuous QND measurement. The possible values of $x_m(t)$ are assumed to be

$$x_m(t) \in \{0,1\}.$$ (3.10)

Other possibilities can be modeled by subtracting a baseline value from the actual observation and defining an appropriate $\sigma_m(t)$ before the processing described here. In the absence of measurements, the probability function of $x_m(t) = x$ obeys a forward Kolmogorov equation [30]:

$$\frac{dP_m(t)}{dt} = L_m(t)P_m(t),$$

(3.11)

$$P_m(t) \equiv \begin{pmatrix} P(x = 0,t|H_m) \\ P(x = 1,t|H_m) \end{pmatrix},$$

(3.12)

as depicted by Fig. 4.

Although one can also use the Wonham equation [42] to perform the estimator, and the normalization step would not be needed in theory, the DMZ equation is linear with respect to $P_m(t)$ and easier to solve analytically or numerically. In general, a numerical split-step method can be used [43]:

$$P_m(t + dt) \approx \exp \left[ dy(t)\sigma_m(t)x - \frac{dt}{2} \sigma_m^2(t)x^2 \right] \times \exp \left[ dtL_m(t) \right] P_m(t).$$

(3.19)

Many other numerical methods are available [44]. Analytic solutions can be obtained in the following special cases.
E. Deterministic-signal detection

For a simple example, assume binary hypothesis testing \((M = 2)\), no spontaneous transition \((L_m^- = L_m^+ = 0)\), and deterministic initial conditions given by

\[
\begin{align*}
p_0(0,0) &= P(x = 0, t = 0 | \mathcal{H}_0) = 1, \\
p_0(1,0) &= P(x = 1, t = 0 | \mathcal{H}_0) = 0, \\
p_1(0,0) &= P(x = 0, t = 0 | \mathcal{H}_1) = 0, \\
p_1(1,0) &= P(x = 1, t = 0 | \mathcal{H}_1) = 1.
\end{align*}
\]

The estimator becomes independent of the observation:

\[
\mu_0(t) = 0, \quad \mu_1(t) = \sigma_1(t).
\]

This is simply a case of deterministic-signal detection, when the estimator-correlator formula in Eq. (3.8) becomes a matched filter [19,20]. The minimum error probability \(P_{e,\text{min}}\) has an analytic expression [19]:

\[P_{e,\text{min}} = P_+ P(\mathcal{H}_0) + P_- P(\mathcal{H}_1),\]

\[
P_\pm = \frac{1}{2} \text{erfc} \left[ \frac{\sqrt{\text{SNR}}}{8} \left( 1 \pm \frac{2\lambda}{\text{SNR}} \right) \right].
\]

\[
\text{erfc} u = \frac{2}{\sqrt{\pi}} \int_u^\infty dv \exp(-v^2),
\]

\[
\text{SNR} \equiv \int_0^T \sigma_1^2(t) dt, \quad \lambda \equiv \ln \frac{P(\mathcal{H}_1)}{P(\mathcal{H}_0)}.
\]

For \(\text{SNR} \to \infty\), the error exponent has the asymptotic behavior \(-\ln P_{e,\text{min}} \to \text{SNR}/8\).

Although this solution for \(P_{e,\text{min}}\) is not strictly valid when spontaneous transitions are present, it should be accurate when the observation time \(T\) is short relative to \(1/L_m^-\) or \(1/L_m^+\) and can serve as a rough guide for other cases.

F. No spontaneous excitation \((L_m^- = 0)\)

The case of \(L_m^- > 0\) and \(L_m^+ = 0\) corresponds to the model studied by Gambetta and coworkers [17]. Equation (3.14) becomes

\[
dp(0, t) = dt L_m^-(t) p_m(1, t),
\]

\[
dp(1, t) = -dt L_m^-(t) p_m(1, t) + dy(t) \sigma_m(t) p_m(1, t).
\]

Equation (3.30) describes the famous geometric Brownian motion [31]. Its well-known solution can be obtained by applying Itô’s lemma to \(d \ln p_m(1, t)\) and is given by

\[
p_m(1, t) = p_m(1, 0) \exp \left\{ \int_0^t dy(t) \sigma_m(t) \right\} - \int_0^t d\tau \left[ \frac{\sigma_m^2(\tau)}{2} + L_m^-(\tau) \right].
\]

A time integral of \(p_m(1, t)\) then gives \(p_m(0, t)\):

\[
p_m(0, t) = p_m(0, 0) + \int_0^t d\tau L_m^-(\tau) p_m(1, \tau).
\]

G. No spontaneous decay \((L_m^+ = 0)\)

For binary qubit state discrimination, we can assume that \(\mu_0(t) = 0\), and \(\mu_1(t)\) can be determined from Eqs. (3.31), (3.32), and (3.18), starting from the deterministic initial conditions given by Eqs. (3.22) and (3.23) if the measurement starts immediately after the qubit state is prepared, as shown in Fig. 5. If there is a finite arming time before the measurement starts [17,18], the forward Kolmogorov equation (3.11) can be used to determine the initial state probabilities.

IV. POISSONIAN NOISE MODEL

A. Observation process

For photon-counting measurements, it is more appropriate to assume that the counting process \(n(t) \in \{0, 1, 2, \ldots\}\), conditioned on the hidden process \(X_n^\tau \equiv \{x_m(\tau); 0 \leq \tau \leq t\}\), obeys Poissonian statistics [27]:

\[
P(n(t) | X_n^\tau, \mathcal{H}_n) = \exp \left\{ -\int_0^t d\tau \lambda_m(\tau) \right\} \left[ \int_0^t d\tau \lambda_m(\tau) \right]^{n(t)} / n(t)!,
\]

\[\int_0^t d\tau \lambda_m(\tau) = \int_0^t dt \lambda_m(t).
\]

FIG. 5. (Color online) Solution to the DMZ equation with spontaneous decay \((L_m^- > 0)\), no spontaneous excitation \((L_m^+ = 0)\), and an initial excited state \([p_m(1, t) = 0, p_m(0, t) = 0]\).
Our goal is to calculate the LLR of the Poissonian observation model. The counting process $n(t)$ is driven by the stochastic intensity $\lambda_m(t)$. We assume $\mathcal{H}_0$ with known intensity $\lambda_0(t) > 0$ to be the null hypothesis.

**B. Estimator-correlator formula**

Define the observation record as

$$N^T \equiv \{n(t); t_0 \leq t \leq T\}.$$  

Our goal is to calculate the LLR

$$\ln \Lambda(N^T|\mathcal{H}_m) = \ln \frac{dP(N^T|\mathcal{H}_m)}{dP(N^T|\mathcal{H}_0)}. \quad (4.4)$$

A formula analogous to the Gaussian case in Eq. (3.8) is given by [23,28]

$$\ln \Lambda(N^T|\mathcal{H}_m) = \int_0^T dt \ln [1 + \alpha_m(t)]$$

$$- \int_0^T dt \lambda_0(t) v_m(t), \quad (4.5)$$

$$v_m(t) \equiv \alpha_m(t) \mathbb{E}[L_m(t)|N^T, \mathcal{H}_m], \quad (4.6)$$

where the $dn(t)$ integral should again follow Itô’s convention [27]. Figure 7 illustrates the formula.

**C. Estimator**

We assume the same unconditional qubit dynamics described in Sec. III C. The estimator can be computed from

$$dp_m(t) = dt L_m(t) p_m(t) + [dn(t) - dt \kappa(t)]$$

$$\times \left\{ \frac{\lambda_0(t)}{\kappa(t)} [I + \alpha_m(t) x] - I \right\} p_m(t), \quad (4.7)$$

where $\kappa(t) > 0$ is an arbitrary positive reference intensity and the estimator is

$$v_m(t) = \alpha_m(t) p_m(1,t) \frac{p_m(0,t) + p_m(1,t)}{p_m(0,t)}. \quad (4.8)$$

This procedure is identical to that depicted in Fig. 4. Assuming $\kappa(t) = \lambda_0(t)$, Eq. (4.7) can be solved using a numerical split-step method:

$$dp_m(t) = dt L_m(t) p_m(t) + [dn(t) - dt \kappa(t)]$$

$$\times \left\{ \frac{\lambda_0(t)}{\kappa(t)} [I + \alpha_m(t) x] - I \right\} p_m(t). \quad (4.9)$$

Analytic solutions can be found in the following cases.

**D. No spontaneous excitation ($L^+_m = 0$)**

Let $\kappa(t) = \lambda_0(t)$. Equation (4.7) becomes

$$dp_m(0,t) = dt L_m^- p_m(1,t), \quad (4.10)$$

$$dp_m(1,t) = -dt L_m^- p_m(1,t) + [dn(t) - dt \lambda_0(t)] \alpha_m(t) p_m(1,t). \quad (4.11)$$

Following Chap. 5.3.1 in Ref. [27], we get

$$p_m(1,t) = p_m(1,0) \exp \left\{ \int_0^t d\tau \ln [1 + \alpha_m(\tau)] \right\}$$

$$- \int_0^t d\tau [\lambda_0(\tau) \alpha_m(\tau) + L_m^-] \right\}, \quad (4.12)$$

$$p_m(0,t) = p_m(0,0) + \int_0^t d\tau L_m^- p_m(1,\tau). \quad (4.13)$$

Figure 8 depicts a block diagram for this solution.

**E. No spontaneous decay ($L^-_m = 0$)**

We now let $\kappa(t) = \lambda_0(t) [1 + \alpha_m(t)]$. Equation (4.7) becomes

$$dp_m(0,t) = -dt L_m^+ p_m(0,t)$$

$$- [dn(t) - dt \kappa(t)] \frac{\alpha_m(t)}{1 + \alpha_m(t)} p_m(0,t), \quad (4.14)$$

$$dp_m(1,t) = dt L_m^+ p_m(0,t). \quad (4.15)$$
Similar to the previous case, the solution is
\[
p_m(0,t) = p_m(0,0) \exp \left\{ -\int_0^t d\tau \alpha_m(\tau) \right\} \left[ 1 + \alpha_m(t) \right] + \int_0^t dt \alpha_m(\tau) L_m^-(\tau) p_m(0,\tau),
\]
(4.16)
\[
p_m(1,t) = p_m(1,0) + \int_0^t dt L_m^+(\tau)p_m(0,\tau).
\]
(4.17)

It is interesting to note that all the Poissonian results approach the Gaussian ones in Sec. III if we assume \( \alpha_m(\tau) \to \infty \).

V. CONCLUSION

We have proposed an estimator-correlator architecture for optimal qubit-readout signal processing and found analytic solutions in some special cases of interest using Itô calculus. Although we have focused on a classical model, our formalism can potentially be extended to more general quantum dynamics\[28,45\] and more realistic measurements, including artifacts such as dark counts and finite detector bandwidth\[1\]. An open problem of interest is the evaluation of readout performance beyond the case of deterministic-signal detection. Numerical Monte Carlo simulation is not difficult for two-level systems, but analytic solutions should bring additional insight and may be possible using tools in classical and quantum detection theory\[19,46–50\]. Another open problem is the accuracy, speed, and practicality of our algorithms in reality, which will be subject to more specific experimental requirements and hardware limitations\[29\].

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APPENDIX: QUANTUM FORMALISM OF CONTINUOUS QUANTUM-NONDEMOLITION MEASUREMENT

Let
\[
\hat{f}_m(t) = \begin{pmatrix} f_m(0,0,t) & f_m(0,1,t) \\ f_m(1,0,t) & f_m(1,1,t) \end{pmatrix}
\]
(A1)
be the unnormalized density matrix for the qubit conditioned on the observation record \( Y^t \) and hypothesis \( H_m \). Consider the following linear stochastic quantum master equation [1]:
\[
d\hat{f}_m = dt L_m^-(\hat{\sigma}_-\hat{f}_m\hat{\sigma}_+ - \frac{1}{2}\hat{\sigma}_+\hat{\sigma}_-\hat{f}_m - \frac{1}{2}\hat{f}_m\hat{\sigma}_-\hat{\sigma}_+)
+ dt L_m^+(\hat{\sigma}_+\hat{f}_m\hat{\sigma}_- - \frac{1}{2}\hat{\sigma}_-\hat{\sigma}_+\hat{f}_m - \frac{1}{2}\hat{f}_m\hat{\sigma}_+\hat{\sigma}_+),
\]
\[
+ \frac{dy\sigma_m}{2}(\hat{\sigma}_+ \hat{f}_m + \hat{f}_m \hat{\sigma}_+),
\]
(A2)
where
\[
\hat{\sigma}_- = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad \hat{\sigma}_+ = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad \hat{x} = \begin{pmatrix} 0 & 0 \end{pmatrix},
\]
(A3)
and \( L_m^-, L_m^+ \) and \( L_m^0 \) are the decay, excitation, and dephasing rates, respectively. The estimator in the quantum estimator-correlator formula \[28\] is
\[
\sigma_m(t) \overline{E(Y^t, H_m)} = \frac{\sigma_m(t)f_m(1,1,t)}{f_m(0,0,t) + f_m(1,1,t)}.
\]
(A4)
The important point here is that the estimator involves only the diagonal components of \( f_m(t) \), which are decoupled from the off-diagonal components throughout the evolution:
\[
df_m(0,0,t) = dt[-L_m^+(f_m(0,0,t) + L_m^0(t)f_m(1,1,t)),
\]
(A5)
\[
df_m(1,1,t) = dt[L_m^0(t)f_m(0,0,t) - L_m^-(f_m(1,1,t)]
+ dy(t)\sigma_m(t)f_m(1,1,t).
\]
(A6)
This means that a classical stochastic model is sufficient. In particular, Eqs. (A5) and (A6) are identical to the classical DMZ equation given by Eq. (3.14). The argument in the case of Poissonian noise is similar.


